KWK Telecom, Inc.

MAR - 5 2010

FCC Mail Room

Received & Inspected 109 E. 17<sup>th</sup> Street, Suite 31

Cheyenne, Wy 82001

Tel: 949-679-4500 Fax: 949-679-4502

tk@kwktel.com

DEPOSIT FILE COPY OFICHER

Date:

March 1, 2010

To:

**FCC** 

From:

KWK Telecom, Inc.

Subject:

**Public Notice** 

### Hello Folks,

This is my first year in business and we just received a public notice for the annual CPNI Cert due March 1, 2010. I have enclosed our copies that were sent, both CFR's and the 499 for last year. Please note that we had no revenues and were not operating in 2008, thus filling was not applicable to us as we were told by your office.

Moving forward I have hired a compliance person to handle all of these documents so we don't have to get these notices and are in full compliance.

Please let me know if there is anything else you may need from us....we are a small company and we want to do our best at complying with all these new and old regulations.

God bless and have a great day.

Sincerely,

Terrence M. Kartch

KwK Telecom, Inc.

## MAR - 5 2010

FCC Mail Room

# Annual 47 C.F.R. § 64.2009(e) CPNI Certification EB Docket 06, 26

### **EB Docket 06-36**

Annual 64.2009(e) CPNI Certification for 2009

Date filed: February 21, 2009

KWK Telecom, Inc.

Form 499 Filer ID: 827392

Name of signatory: Terrence M. Kartch

Title of signatory: CEO

I, Terrence M. Kartch, certify that I am an officer of the Company named above, and acting as an agent of the Company, that I have personal knowledge that the Company has established operating procedures that are adequate to ensure compliance with the Commission's CPNI rules. See 47 C.F.R. § 64.2001 et seq.

Attached to this certification is an accompanying statement explaining how the Company's procedures ensure that the Company is in compliance with the requirements set forth in section 64.2001 *et seq.* of the Commission's rules.

The Company has not taken any actions (proceedings instituted or petitions filed by a Company at either state commissions, the court system, or at the Commission against data brokers) against data brokers in the past year. Companies must report on any information that they have with respect to the processes pretexters are using to attempt to access CPNI, and what steps Companies are taking to protect CPNI.

The Company has not received any customer complaints in the past year concerning the unauthorized release of CPNI (number of customer complaints a Company has received related to unauthorized access to CPNI, or unauthorized disclosure of CPNI, broken down by category or complaint, e.g., instances of improper access by employees, instances of improper disclosure to individuals not authorized to receive the information, or instances of improper access to online information by individuals not authorized to view the information).

Signed:

# Statement Concerning the Protection of Customer Proprietary Network Information for the Annual Period Ending December 31, 2008

- 1. KWk Telecom, Inc., ("Company") is a telecommunications carrier subject to the requirements set forth in Section 64.2009 of the Federal Communications Commission's ("FCC's") rules. Company has established policies and procedures to satisfy compliance with the FCC's rules pertaining to use, disclosure and access to customer proprietary network information ("CPNI") set forth in sections 64.201 et. seq.
- 2. If a customer calls Company requesting information that is considered CPNI, Company does not release such information unless customer provides a pre-established password, requests that the information be sent to the customer's address of record, or Company calls the telephone number of record and discusses the requested information.
- 3. Without customer approval, Company does not use, disclose or permit access to CPNI to provide or market service offerings within a category of service to which the customer does not already subscribe, except as permitted by the FCC rules.
- 4. Information protected by Company includes information that relates to the quantity, technical configuration, type, destination, location and amount of use of a telecommunications service subscribed to by a customer and made available to Company by the customer solely by virtue of the carrier-customer relationship. Also protected is information contained in the bills pertaining to telephone exchange service or telephone toll service received by a customer.
- 5. Company does not use, disclose or permit access to CPNI to identify or track customers that call competing service providers.
- 6. Company has established a system by which they can determine whether a customer has approved or disapproved of Company's release or use of CPNI prior to that information being used or released.
- 7. Company personnel are trained as to when they are and are not authorized to release or use CPNI, and violation of these rules will subject personnel to express disciplinary action.
- 8. If and when customer approval to use, disclose, or permit access to customer CPNI is desired, Company obtains such customer approval through written or oral methods (however, we only utilize the oral authorization to obtain limited, one-time use of CPNI for inbound and outbound customer telephone contacts, and such CPNI authority, if granted, lasts only for the duration of that specific call). Company honors a customer's approval or disapproval until the customer revokes or limits such approval or disapproval.
- 9. Company has established a procedure whereby all sales personnel must obtain supervisory approval of any proposed outbound marketing request for customer approval

- of the use of CPNI and records reflecting carrier compliance with the Commission Rules are maintained for a minimum of one year.
- 10. Prior to any solicitation for customer approval, Company provides notification to customers of their right to restrict use of, or disclosure of, and access to the customer's CPNI. Records of these notifications are maintained for a period of at least one year.
- 11. Company's notifications provide information sufficient to enable our customers to make informed decisions as to whether to permit the use or disclosure of, or access to, their CPNI. Company's notifications do: (1) contain a statement that the customer has a right, and Company has a duty under federal law, to protect the confidentiality of CPNI; (2) specify the types of information that constitute CPNI and the specific entities that will receive the CPNI; (3) describe the purposes for which the CPNI may be used; and (4) inform the customer of the right to disapprove those uses and deny or withdraw access to or use of CPNI at any time.
- 12. Company's notifications inform the customer that any approval or denial of approval for the use of CPNI outside of the service to which the customer already subscribes is valid until the customer affirmatively revokes or limits such approval or denial.
- 13. Company advises its customers of the precise steps the customer must take in order to grant or deny access to CPNI, and that denial of approval will not affect the provision of any services to which the customer subscribes.
- 14. Company maintains a record of its sales and marketing campaigns that use customer's CPNI. Further, a record of all instances where CPNI was disclosed or provided to third parties or where third parties were allowed access to CPNI is maintained by Company. These records reflect a description of the campaigns, the specific CPNI used in the campaign and what products or services were offered as part of the campaign. These records are retained for a minimum of one year.
- 15. Company obtains opt-in consent from customers before disclosing customer's CPNI to any joint venture partner or independent contractor.
- 16. If a breach of CPNI occurs, Company will provide electronic notification of the breach to the U.S. Secret Service and the FBI within seven (7) days. Company will also notify customer after seven (7) more days unless there is a risk of immediate and irreparable harm to the customer in which case Company will notify the customer immediately. Company will keep records or discovered breaches for at least two (2) years.

	ting worksheet (Repartitions) fructions before completing. g — due April 1, 2009		ar 2008 Revenues)	Approval by OMB 3060-0855
Block 1: Contributor Identification Information	During the yea	ır, filers must refile Block	s 1, 2 and 5 if there are any change	es in Lines 104 or 112 See Instructions
101 Filer 499 ID [If you don't know your number, contact the admini	strator at (888) 641-8722.	·		
If you are a new filer, write "new" in this block and a Filer 499 ID	will be assigned to you.]	827392		
102 Legal name of reporting entity		KwK Telecom, I	nc.	
103 IRS employer identification number		[Enter 9 digit numbe	ir] 26-3 <b>41</b> 8820	
104 Name telecommunications provider is doing business as				• • •
105 Telecommunications activities of filer [Select up to 5 boxes that CAP/CLEC Cellular/PCS/SMR (wireless telepited interconnected VoIP Interexchange Carrier (IXC) Payphone Service Provider Shared-Tenant Service Provider / Building LEC If Other Local, Other Mobile or Other Toll is checked, describe carrier type / services provided:	nony incl. by resale) Local Reseller Prepaid Card SMR (dispatch) Other Local	- · -	Coaxial Cable Operator Service Provider Private Service Provider Toll Reseller Other Mobile	Incumbent LEC
<ul> <li>106.2 Holding company IRS employer identification number</li> <li>107 FCC Registration Number (FRN) [https://svartifoss2.fcc.gov/cc [For assistance, contact the CORES help desk at 877-480-3201</li> </ul>		[Enter 9 digit numbe	•	-
108 Management company [if filer is managed by another entity] 109 Complete mailing address of reporting entity corporate headquarters  Note, this address will be used for the ITSP FCC regulatory	Street1 14252 Culver Drive A537 Street 2 Street 3			
tee billings unless the appropriate box is checked on Line 208.  110 Complete business address for customer inquiries and complaints  check if same address as Line 109	City Invine Street1 Street 2  X Street 3	_ SI	ateCA Zip (postał code) 92604 	Country of not USA
	City	State	Zip (postal code)	Country if not USA
111 Telephone number for customer complaints and inquiries $  \Gamma oll-\Gamma o$	(ree number (f available)	( 949) 679 -450	0	ext -
112 List all trade names used in the past 3 years in providing telecor	nmunications. Include all na	ames by which you	are known by customers.	
a	9. h i j , k			
Use an additional sheet if necessary.	Each reporting entity must	provide all names u	sed for telecommunications ac	ctivities
PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSH	EET CAN BE PUNISHED BY F	INE OR IMPRISONM	ENT UNDER TITLE 18 OF THE I	UNITED STATES CODE. 18 U.S.C. § 1001

2009 FCC Form 499-A Telecommunications Report	ing Worksheet (I	Reporting	Calendar	2008 Revenue	es)			Page 2
Block 2-A: Regulatory Contact Information								
201 Filer 499 ID [from Line 101]	827392							
202 Legal name of reporting entity [from Line 102]	KwK Telecom, In	C.						
203 Person who completed this Worksheet	First JUDITH	WI A		£ 35	d RILEY			
204 Telephone number of this person		( 405	)755 - 8177		ext -	- 14		
205 Fax number of this person		( 405	)755 - 8377					
206 Email of this person   Required if available not for public rele 207 Corporate office, attn. name, and mailing address to which future Telecommunications Reporting Worksheets should be sent check if same name as Line 203	Office Telecom Professionals In Email	t for public release]	: 101	Pho <b>ne (</b>	Affa First name - Mi ) -	 Last ext-	fax { _	) -
check if same address as Line 109	Street 3							
208 Billing address and billing contact person	Eity: OKLAHOMA CITY Company	State OK Zip	(postal code) 73°32	Aftin First name	мі	Count L <b>a</b> st	y it not USA	=
[Plan administrators will send bills for contributions to this address. Please attach a written request for alternative billing arrangements.]	Email	for public release()		Phone (	) -	est-	Fâx (	) ~
check to use Line 208 information for FCC ITSP regulatory fee bill.	Street 3		State	Zip (postal code)		Country if not L	ICY	
Block 2-B: Agent for Service of Process		'		oIP must complete Lin locks 1-2 and 6 if there	•	-	•	s.
209 D.C. Agent for Service of Process per 47 U.S.C. § 413	Company CT/CORPORATION			Attn First dame	МІ	Last		
210 Telephone number of D.C. agent		( 202	) 572 - 3100		ext -			
211 Fax number of D.C. agent	_	( 202	) 572 - 9600	_			in i	
212 Email of D.C. agent     Required if available	anusha.putty@wo	lterskluwer (	com					
213 Complete business address of D.C. agent for hand service of documents check to use Line 213 information for FCC ITSP regulatory fee bill [If both Line 208 and Line 213 are checked, Line 208 will be used.]	Street 1 1015 15TH STREET NW Street 2 Street 3 City WASHING TON	/ SUITE 1000		State <b>DC</b> 2	ip 20005			
214 Local/alternate Agent for Service of Process (optional)	Сотралу			Atto First name	MI	Last		
215 Telephone number of local/alternate agent		(	) -	ex	ct -			
216 Fax number of local/alternate agent		(	) -					
217 Email of local/alternate agent   Required if available								
218 Complete business address of local/alternate agent for hand service of documents	Street 1 Street 2							
check to use Line 218 information for FCC ITSP regulatory fee bill [ ]  [If both Line 208 and Line 218 are checked, Line 208 will be used.]	_		Slate	Zip goostal code	1	Country 4 not us	S4	

#### Block 2-C: FCC Registration and Contact Information

Filers must refile Blocks 1, 2 and 6

			if there are any ch	nanges	in this section	n. See Instruct	ions
219 Filer 499 ID [from Line 101]		827392					
220 Legal name of reporting entity [	from Line 102]	KwK Telecom Inc					
221 Chief Executive Officer (or, high if the filing entity does not have:		First Manavy		Мi	s ast 🕒	Karlot	
222 Business address of individual r	named on Line 221	Street1					
	_	Street 2					
check if same as Line 109	9 <u>[ X</u>	Street 3			_		
		City	State		Zip (postal code)	-	Country -F not USA
223 Second ranking company officer (Must be someone other than the		First Тепеасе		ΜI	East K	arton	
224 Business address of individual r	named on Line 223	Street*					
	_	Street 2					
check if same as Line 109	• <u>                                    </u>	Street 3	_		_		
		City	Stale		Dφ (postal code)		Country first USA
225 Third ranking company officer, s (Must be someone other than in Lines 221 or 223)	-	≅ust n°esley		МI	Cast Fa	artch	
226 Business address of individual r	named on Line 225	Street1					
		Street 2					
check if same as Line 109	9 <u>  X</u>	Street 3					
227 Indicate jurisdictions in which the and jurisdictions in which service			State service was provided	in the	aic (postal code) past 15 month		Country if not USA
Alabama	Guam	Massachusetts	New York			Tennessee	
Alaska	Hawaii	Michigan	North Carolina			Texas	
American Samoa	Idaho	Midway Atoll	North Dakota			Utah	
Arizona	Illinois	Minnesota	Northern Mariana	Island	s	U.S. Virgin Isl	ands
Arkansas	Indiana	Mississippi	Ohio			Vermont	
California	lowa	Missouri	Oklahoma			Virginia	
Colorado	Johnston Atoll	Montana	Oregon			Wake Island	
Connecticut	Kansas	Nebraska	Pennsylvania			Washington	
Delaware	Kentucky	Nevada	Puerto Rico			West Virginia	
District of Columbia	Louisiana	New Hampshire	Rhode Island			Wisconsin	
Florida	Maine	New Jersey	South Carolina		х	Wyoming	
Georgia	Maryland	New Mexico	South Dakota			, <u>,</u>	
228 Year and month filer first provide	ed (or expects to provide) telecor	mmunications in the U.S.	Check if prior to 1/1	1/1999	otherwise Ye	ear 2009	Month March
DEDONIS MAKING WHITEIT EALS	E STATEMENTS IN THE WORKSHE	ET PAN RE DINIGHED BY EI	NE OD IMPORONIMENT	F LINIDE	D TITLE 18 00	THE HARTER S	TATES CODE 18 HS C & 1001

PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE PUNISHED BY FINE OR IMPRISONMENT UNDER TITLE 18 OF THE UNITED STATES CODE, 18 U.S.C. § 1001

and that the customer is purchasing service for resale as telecommunications. These records must be made available to the administrator or

the FCC upon request. The FCC website contains information on federal universal service contributors. (See instructions.)

2009 FCC Form 499-A Telecommunications Reporting	Worksheet (Reporting	Calendar 20	008 Revenues)		Page 5
Block 4-A: End-User and Non-Telecommunications Revenue Information					
401 Filer 499 ID [from Line 101] 402 Legal name of reporting entity [from Line 102] Report billed revenues for January 1 through December 31, 2008. Do not report any negative numbers. Dollar amounts may be rounded to the nearest thousand dollars. However, report all amounts as whole dollars. See instructions regarding percent interstate & international.	827392 KwK Telecom Inc Total Revenues (a)	amounts, percentag	s are not book enter whole ge estimates International (c)	Break Interstate Revenues (d)	kouts International Revenues (e)
Revenues from All Other Sources (end-user telecom. & non-telecom.)  403 Surcharges or other amounts on bills identified as recovering  State or Federal universal service contributions	1-1	(1)		`,	, , , , , , , , , , , , , , , , , , ,
Fixed local services  Monthly service local calling, connection charges, vertical features and other local exchange service charges except for federally tariffed subscriber line charges and PICC charges traditional circuit switched  404.1 Provided at a flat rate including interstate toll service — local portio	n				
404.2 Provided at a flat rate including interstate toll service toll portion	•	•	-		•
404.3 Provided without interstate toll included (see instructions)  interconnected VolP  404.4 Offered in conjunction with a broadband connection	•				
404.5 Offered independent of a broadband connection			•		-
405 Tariffed subscriber line charges and PICC charges levied by a local exchange carrier on a no-PIC customer	•	-			
406 Local private line & special access service [Includes the transmission portion of wireline broadband Internet access provided on a common carrier basis.]			•		
407 Payphone coin revenues (local and long distance)	• •		,		
408 Other local telecommunications service revenues	•	-			
Mobile services (including wireless telephony, paging & messaging, and other mobile service	es/	£			
409 Monthly and activation charges					
410 Message charges including roaming and air-time charges for totl calls, but excluding separately stated toll charges					
PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET (	CAN BE PUNISHED BY FINE OR	IMPRISONMENT	UNDER TITLE 18 OF	THE UNITED STATES CO	DDE 18 U.S.C. § 1001
Save time, avoid problems file electronically at	http://forms.universalservic	e.org		<del></del>	FCC Form 499-A

February 2009

Block 4-A: Continued

		Total Revenues (a)	percentage	enter whole	Breako Interstate Revenues (d)	uts International Revenues (e)
<i>Toll se</i> 411	Prepaid calling card (including card sales to customers and non-carrier distributors) reported at face value of cards	***************************************				
412	International calls that both originate and terminate in foreign points		0%	100%		
413	Operator and toll calls with alternative billing arrangements (credit card, collect, international call-back, etc.) other than revenues reported on Line 412					
	Ordinary long distance (direct-dialed MTS, customer toll-free (800/888 etc.) service. "10-10" calls, associated monthly account maintenance PICC pass-through, and other switched services not reported above)				·	
414 1	All other than interconnected VoIP, including but not limited to, itemized toll on wireline and wireless bills					
414 2	All interconnected VoIP long distance, including, but not limited to, itemized toll					
415	Long distance private line services					
416	Satellite services					
417	All other long distance services					
	Revenues other than U.S. telecommunications revenues, including information services, inside wiring maintenance, billing and collection customer premises equipment, published directory dark fiber. Internet access cable TV program transmission foreign carner operations, and non-telecommunications revenues. [See instructions.]					
418.1	bundled with circuit switched local exchange service			•	To the state of th	
418.2	bundled with interconnected VoIP local exchange service			1	· · · · · · · · · · · · · · · · · · ·	
418.3	other					
łock 4-	B: Total Revenue and Uncollectible Revenue Information					
419	Gross billed revenues from all sources (incl. reseller & non-telecom.) [Lines 303 through 314 plus Lines 403 through 418]	0.00		3		
420	Gross universal service contribution base amounts. [Lines 403 through 411 Lines 413 through 417]. See Figure 4 in instructions.					
421	Uncollectible revenue/bad debt expense associated with gross billed revenues amounts shown on Line 419 [See Instructions Page 26]			,		
422	Uncollectible revenue/bad debt expense associated with universal service contribution base amounts shown on Line 420					
423	Net universal service contribution base revenues [Line 420 minus line 422]	0.00		†		
	PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WORKSHEET CAN BE	E PUNISHED BY FINE OR	IMPRISONMENT U	NDER TITLE 18 OF	THE UNITED STATES COD	DE 18 U.S.C. § 1001

		n 499-A Telecommunications Re Revenue Breakouts	porting worksheet	Reporting Calendar 2006 (	veriues)		Pag	је
501 501		[from Line 101]	827392					
502		of reporting entity [from Line 102]	KwK Telecom	inc				
	that report rev	renues in Block 3 and Block 4 must provide t	he percentages requested in	Lines 503 through 510	Plant 1		Dia etc. 4	
see pa	2	ructions for limited exceptions.			Block 3		Block 4	
		of revenues reported in Block 3 and Block 4 i			Carrier's Carrier		End-User Telecom	
	estimate to m	earest whole percentage   Enter 0 if no servi	ce was provided in the regio	п.	(a)		(b)	
503	Southeast	Alabama, Florida Georgia, Kentucky, Lou Puerto Rico South Carolina, Tennessee, s		rolina,		%		
504	Western	Alaska, Arizona, Colorado, Idaho, Iowa, M North Dakota, Oregon, South Dakota, Uta		-,		%		
505	West Coast	California, Hawaii Nevada, American Sam Northern Mariana Islands, and Wake Islan		/lidway Atoli		%		
506	Mid-Atlantic	Delaware District of Columbia, Maryland, West Virginia	New Jersey, Pennsylvania N	/irginia, and		%		
507	Mid-West	Illinois Indiana, Michigan Ohio, and Wisco	onsin	-		%		
508	Northeast	Connecticut, Maine, Massachusetts, New	Hampshire, New York, Rhod	le Island, and Vermont		%		
50 <del>9</del>	Southwest	Arkansas, Kansas, Missouri, Oktahoma, a	nd Texas			%]		
510	Total	[Percentages must add to 0 or 100.]				%		(
511	filer's TRS. N. option of iden	orn resellers that do not contribute to Univers ANPA_LNP, and FCC interstate telephone s http://groupsuch.revenues.below. As stated in nues are included on Line 511. (See instri	ervice provider regulatory fe the instructions, you mus	e contribution bases. To have thes	e amounts excluded the fil ler 499 ID for each custor	er has the	national	
	Revenues fro	m resellers that do not contribute to Univers	al Service \$		· S		-	
		im resellers that do not contribute to Univers KING WILLFUL FALSE STATEMENTS IN THE WI		BY FINE OR IMPRISONMENT UNDER	R TITLE 18 OF THE UNITED	STATES CODE 1	8 U.S.C. § 10	01
	Save time a	void problems file electronically at	http://forms.un	iversalservice.org		FCC F	Form 499-A	_

February 2009

2009 FCC Form 499-A Telecommunications Rej	porting Worksheet (Re	eporting Calendar 20	08 Revenues)	Page 8
Block 6: CERTIFICATION: to be signed by an officer of the fi	ler			
601 Filer 499 ID [from Line 101]	827392			
602 Legal name of reporting entity [from Line 102]	KwK Telecom, Inc			
Section IV of the instructions provides information on which to be exempt from one or more contribution requirements s will determine which entities meet the <i>de minimus</i> threshold	hould so certify below and atta	ch an explanation. [The Uni	versal Service Administrator	
603. Ecertify that the reporting entity is exempt from contributing	to	Universal Service	TRS NANPA	LNP Administration
Provide explanation below.				
604 Please indicate whether the reporting entity is	State or Local G	overnment Entity	I.R,C, § 501 or State Tax Exemp	ot (see instructions)
605 I certify that the revenue data contained herein are privilege position of the company. I request nondisclosure of the revenue are provided to the provide				
I certify that I am an officer of the above-named reporting et to the best of my knowledge, information and belief, all state statement of the affairs of the above-named company for the requested identification registration information has been proconsolidated basis. I certify that this filling incorporates all of the filer adhered to and continues to meet the conditions se	ements of fact contained in this e previous calendar year. In a rovided and is accurate. If the the revenues for the consolida	Worksheet are true and that ddition. I swear, under pena above-named reporting entited entities for the entire ye	t said Worksheet is an accurate Ity of perjury, that all y is filing on a	
606 Signature	. (			
607 Printed name of officer	First Terrence	VI	M Last Kartch	
608 Position with reporting entity	Chief Operating O	fficer		
609 Business telephone number of officer		( 949 )679 -4500	ext -	
610 Email of officer     Required if available not for public rele	ease    tk@kwktel.com			
611 Date	3/23/09			
612 Check those that apply X Original April 1 filing for year	ar New filer registration only	Revis	ed filing with updated registration 🔲 Revise	ed filing with updated revenue data
Do not mail checks with this form. Send this form to Form For additional information regarding this worksheet contact				
PERSONS MAKING WILLFUL FALSE STATEMENTS IN THE WOR	KKSHEET CAN BE PUNISHED BY	FINE OR IMPRISONMENT UN	DER TITLE 18 OF THE UNITED STATES	CODE 18 U.S.C. § 1001